

Template: Amendment/Addendum	Norwegian and Non-Norwegian NGOs Grant Management Regime I, II and III	Revision no.: Date:	2 17.06.2016
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ADDENDUM NUMBER 1 TO AGREEMENT BETWEEN THE NORWEGIAN MINISTRY FOR FOREIGN AFFAIRS AND WWF VERDENS NATURFOND (WWF-Norway) CONCERNING “NO MORE PLASTICS IN OUR OCEANS” (QZA-0800 QZA-18/0200), FOR THE ADDITIONAL PROJECT “NO MORE PLASTICS IN OUR OCEANS – CHINA”

1 BACKGROUND

- 1.1 The Ministry of Foreign Affairs (MFA) and the Grant Recipient (jointly referred to as the Parties) have entered into an agreement dated 04.07.2018 (the Agreement) concerning QZA-0800 QZA-18/0200 “No more plastics in our oceans” (the Project).
- 1.2 MFA has decided to transfer all rights and obligations pertaining to MFA under the Agreement to Norad. MFA is requesting WWF-Norway’s acceptance of the change of party on the Norwegian side from MFA to Norad. As WWF-Norway accepts this change, MFA, Norad and WWF-Norway acknowledge the need to amend the Agreement.
- 1.3 The Grant Recipient has furthermore submitted an application to MFA dated 13.08.2018 regarding financial support to an additional project component, with which the Norwegian Agency for Development Cooperation (Norad) has decided to comply. The Parties expect the additional project component to be implemented during the period from 01.10.2018 to 31.12.2021.
- 1.4 The Parties have agreed to amend the Agreement through this addendum number 1 (the Addendum), which shall be an integrated part of the Agreement.

2 EXTENSION OF THE SUPPORT PERIOD

- 2.1 The Support Period set forth in the Agreement’s article 1.2 shall hereby be extended to 31.12.2021.

3 ADDITIONAL GRANT

- 3.1 Norad shall, subject to Norwegian parliamentary appropriations and on the terms and conditions of the Agreement and this Addendum, provide an additional grant to WWF-Norway not exceeding NOK 34 150 337 (Norwegian Kroner Thirty-four million one hundred and fifty thousand three hundred and thirty-seven) (the Additional Grant). The total grant as set forth in the Agreement’s article 4.1 shall hereafter not exceed NOK 96 440 108 (Norwegian Kroner Ninety-six million four hundred and forty thousand one hundred and eight).
- 3.2 The Additional Grant shall be used exclusively to finance the additional project component “No more plastics in our oceans – China” as specified in the budget attached as Annex C to this Addendum and the Results Frameworks attached as Annex D to this Addendum during the Support Period.
- 3.3 The Additional Grant shall be disbursed in accordance with article 5 of the Agreement. The first disbursement will be disbursed upon signing of this Addendum.

4 ADDITIONAL AND REVISED CONDITIONS

- 4.1 All rights and obligations to MFA under the Agreement shall hereby be transferred to Norad. The term MFA will be replaced with Norad throughout the Agreement. MFA and WWF-Norway will have no further rights and obligations to each other in connection with the Agreement.
- 4.2 The Agreement outcome no. (iv) in article 2.1 shall be replaced with: (iv) enhanced understanding of the issue of plastic pollution in Africa and China, and options to address it identified.
- 4.3 The Agreement’s Article 3.1 shall be replaced with the following: The Project shall be implemented in accordance with the Agreement as amended including all annexes, and the latest approved Application, including implementation plan and budget.
- 4.4 The following shall be added to the Agreement’s article 5.3: The third disbursement for the Agreement and the project component added by Addendum No. 1 respectively is subject to

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Norad's receipt and approval of a brief description of the key products and services delivered and progress towards achieving planned outcomes.

4.5 The Agreement's Article 6.1 shall be replaced with the following:

The following shall be submitted by the Grant Recipient to Norad:

- a) A progress report covering the period from January to December shall be submitted to Norad by 1 June each year. The progress report shall include the content specified in article 2 of the General Conditions. Norad's standard reporting format shall be used.
- b) A financial report covering the period from January to December shall be submitted to Norad by 1 June each year. The financial report shall include the content specified in article 3 of the General Conditions. The final financial report shall cover the entire Support Period and shall be submitted along with the final report referred to in article 6.1 f) of the Specific Conditions.
- c) An audit report covering the annual financial statements of the Project shall be submitted to Norad by 1 June each year. The audit report shall comply with the requirements set out in article 7 of the Specific Conditions and article 5 of the General Conditions. The management letter (matters for governance attention) shall be attached to the audit report.
- d) An updated implementation plan and budget covering the period from January to December shall be submitted to Norad by 1 November each year. The implementation plan and budget shall include the content listed in article 1 of the General Conditions.
- e) A final report for the Support Period shall be submitted to Norad no later than six months after the end of the Support Period. The final report shall include the content listed in article 4 of the General Conditions. Norad's standard reporting format shall be used.

The first progress report, financial report and audit report shall cover the period from the entry into force of the Agreement and the Addendum respectively to December 2019 and be submitted to Norad by 1 June 2020.

4.6 Regarding the financial reporting, WWF-Norway has submitted a revised budget, attached as Annex A to this Addendum, which the Grant Recipient will report against for the remainder of the Agreement.

4.7 The Agreement's article 8.1 shall be replaced with the following: The Parties shall hold formal meetings, tentatively in September each year, in order to discuss i.a. the results achieved by the Project during the Project during the Support Period. The meetings shall be called and chaired by the Grant Recipient.

4.8 The bank account in the Agreement's article 11.3 shall be replaced with:

Name of the account: Norad
Account no.: 7694.05.14815
IBAN no.: 76940514815
Name and address of the bank: DNB BANK ASA, N-0021 Oslo
Swift/BIC code: DNBANOKKXXX

4.9 The email address in the Agreements article 13.1 shall be replaced with: post@norad.no

5 REMAINING CONDITIONS OF THE AGREEMENT

5.1 All other provisions of the Agreement shall remain unchanged and in force.

6 ENTRY INTO FORCE AND DURATION

6.1 The Addendum shall enter into force on the date of the last signature, and remain in force until all obligations arising from it have been fulfilled.

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IIN WITNESS WHEREOF the undersigned, acting on behalf of their respective Party, have signed this Addendum in three -3- original copies in the English language, whereof the Parties keep one each. In the event of any discrepancies between this English language version and any later translations, the English language version shall prevail.

Place: Oslo

Date: 20.11.2018



for the Norwegian Agency for
Development Cooperation,

Mette Møglestue

Director Department for
Climate, Energy,
Environment

Norad

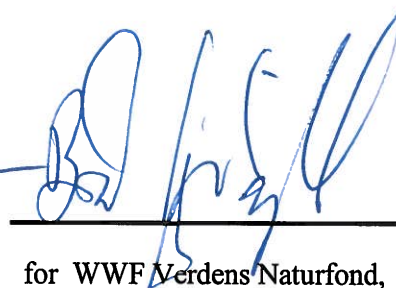


for the Ministry of Foreign
Affairs,

Ståle Slettebakken

Director Section for Climate
and Energy

MFA



for WWF Verdens Naturfond,

Bård Vegar Solhjell

CEO

WWF Verdens Naturfond

Attachments:

Annex A: Revised Budget (Project)

Annex C: Budget (additional project component)

Annex D: Results Framework (additional project component)

ANNEX A

PROJECT No.: GLO-18/200 (Norad), 6050 (WWF-Norway)

Name of project: NO MORE PLASTICS IN OUR OCEANS - SUMMARY BUDGET

Budget 2018 to 2021 years (1st July 2018 to 31st December 2021)

Table 1 (all figures in NOK - Norwegian Kroner)

BUDGET ITEM	2018	2019	2020	2021	2018-2021
	Total	Total	Total	Total	TOTAL
50xx Staff costs (WWF staff, non-WWF staff, daily paid workers)	3 629 165	7 700 760	7 680 529	3 817 934	22 828 387
50xx Workstream 1 - Global Policy	1 357 500	2 986 000	3 002 000	1 498 500	8 844 000
50xx Workstream 2 - Producer Responsibilities	844 000	1 706 000	1 672 000	810 000	5 032 000
50xx Workstream 3 - Plastic Pollution Free Cities	1 217 665	2 714 760	3 006 529	1 509 434	8 448 387
50xx Workstream 4 - Africa	210 000	294 000	0	0	504 000
51xx Third party fees	2 790 550	4 685 877	3 093 882	1 408 248	11 978 556
51xx Workstream 1 - Global Policy	267 500	537 500	470 000	60 000	1 335 000
51xx Workstream 2 - Producer Responsibilities	1 230 000	1 569 000	840 000	430 000	4 069 000
51xx Workstream 3 - Plastic Pollution Free Cities	1 199 716	2 448 710	1 783 882	918 248	6 350 556
51xx Workstream 4 - Africa	93 333	130 667	0	0	224 000
52xx Other grants & agreements	196 851	561 462	836 342	498 398	2 093 054
52xx Workstream 1 - Global Policy	0	0	0	0	0
52xx Workstream 2 - Producer Responsibilities	0	0	0	0	0
52xx Workstream 3 - Plastic Pollution Free Cities	130 185	468 129	836 342	498 398	1 933 054
52xx Workstream 4 - Africa	66 667	93 333	0	0	160 000
53xx Travel, meeting & training costs	2 425 126	4 524 984	4 168 145	2 169 620	13 287 875
53xx Workstream 1 - Global Policy	1 380 000	2 330 000	1 987 500	1 057 500	6 755 000
53xx Workstream 2 - Producer Responsibilities	405 000	1 060 000	1 310 000	655 000	3 430 000
53xx Workstream 3 - Plastic Pollution Free Cities	436 793	850 317	870 645	457 120	2 614 875
53xx Workstream 4 - Africa	203 333	284 667	0	0	488 000
54xx Communications & fundraising costs	298 231	927 388	913 043	451 019	2 589 681
54xx Workstream 1 - Global Policy	90 000	230 000	230 000	90 000	640 000
54xx Workstream 2 - Producer Responsibilities	30 000	60 000	60 000	30 000	180 000
54xx Workstream 3 - Plastic Pollution Free Cities	144 897	590 721	623 043	331 019	1 689 681
54xx Workstream 4 - Africa	33 333	46 667	0	0	80 000
56xx Office running costs	168 752	454 634	367 910	159 583	1 150 880
56xx Workstream 1 - Global Policy	30 000	60 000	60 000	30 000	180 000
56xx Workstream 2 - Producer Responsibilities	36 000	173 000	86 000	20 000	315 000
56xx Workstream 3 - Plastic Pollution Free Cities	86 366	198 693	221 910	109 583	616 553
56xx Workstream 4 - Africa	16 386	22 941	0	0	39 327
57xx Field running costs	46 754	94 154	198 077	150 677	489 662
57xx Workstream 1 - Global Policy	0	0	0	0	0
57xx Workstream 2 - Producer Responsibilities	0	0	0	0	0
57xx Workstream 3 - Plastic Pollution Free Cities	46 754	94 154	198 077	150 677	489 662
57xx Workstream 4 - Africa	0	0	0	0	0
58xx Capital asset costs	71 797	71 797	0	0	143 593
58xx Workstream 1 - Global Policy	0	0	0	0	0
58xx Workstream 2 - Producer Responsibilities	0	0	0	0	0
58xx Workstream 3 - Plastic Pollution Free Cities	71 797	71 797	0	0	143 593
58xx Workstream 4 - Africa	0	0	0	0	0
Africa costs - to be detailed after programme development in year 1	0	952 381	2 380 953	1 428 572	4 761 905
Sub-total	9 627 225	19 973 435	19 638 880	10 084 052	59 323 592
WWF Management fee (indirect operating cost - 5%)	481 361	998 672	981 944	504 203	2 966 180
TOTAL BUDGET	10 108 586	20 972 106	20 620 824	10 588 255	62 289 771

Total by Workstream	2018	2019	2020	2021	2018-2021
Workstream 1 - Global Policy	3 281 250	6 450 675	6 036 975	2 872 800	18 641 700
Workstream 2 - Producer Responsibilities	2 672 250	4 796 400	4 166 400	2 042 250	13 677 300
Workstream 3 - Plastic Pollution Free Cities	3 500 881	7 809 144	7 917 449	4 173 204	23 400 678
Workstream 4 - Africa	654 206	1 915 888	2 500 000	1 500 000	6 570 094
TOTAL BUDGET	10 108 586	20 972 106	20 620 824	10 588 255	62 289 771

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ANNEX C

PROJECT No.: GLO-18/200 (Norad), 6050 (WWF-Norway)
Name of project: NO MORE PLASTICS IN OUR OCEANS - from China
Budget 1st August 2018 to 31st December 2021

Table 1 (all figures in NOK - Norwegian Kroner) (1 USD = NOK 8)

BUDGET ITEM	Year 1 - 2018	Year 2 - 2019	Year 3 - 2020	Year 4 - 2021	Total 4 Years - 2018 - 2021
	Total	Total	Total	Total	Total
50xx Staff costs (WWF staff, non-WWF staff, daily paid workers)	575 859	2 131 277	2 237 841	2 349 733	7 294 710
50xx 0.3 FTE Practice Head, Markets, WWF China (2018 0.6 FTE for 3 months)	118 592	243 114	255 269	268 033	885 007
50xx 0.5 FTE,(S.) Programme Manager, Markets, WWF China	86 705	355 489	373 263	391 926	1 207 383
50xx 1FTE,(S.) Programme Officer, Market ,WWF China	101 041	414 269	434 983	456 732	1 407 025
50xx 1 FTE,(S.) Programme Officer, Regional Office, WWF China	101 041	414 269	434 983	456 732	1 407 025
50xx 1 FTE,(S.) Programme Officer, Regional Office, WWF China	101 041	414 269	434 983	456 732	1 407 025
50xx 12% FTE, CEO, WWF China	39 130	173 804	182 495	191 619	587 049
50xx 3% of CPO office , WWF China	28 308	116 063	121 866	127 959	394 195
51xx Third party fees	234 783	769 565	847 826	847 826	2 700 000
51xx 1.1.1 This is for campaign plan development	65 217	130 435	130 435	130 435	456 522
51xx 2.1.1 This is for companies assessment with marine litter scorecards	19 565	13 043	91 304	65 217	189 130
51xx 3.4.1 This is for hiring experts to create policy/legislation recommendations	52 174	260 870	260 870	286 957	860 870
51xx 3.4.2 This is for helping government developing waste management system for rural area (3rd party)	78 261	326 087	326 087	326 087	1 056 522
51xx Annual audit	19 565	39 130	39 130	39 130	136 957
52xx Other grants & agreements	848 488	2 857 289	2 920 116	2 612 572	9 238 465
52xx Output 4.1.1 for assessment reports and position papers	260 870	521 739	260 870	241 058	1 284 536
52xx Output 1.2.1 for coalition with CSOs that are advocating for national and local policies, providing input and participating in the multilateral processes on marine plastics	260 870	652 174	913 043	913 043	2 739 130
52xx Output 2.2.1 for producing policy recommendation	91 304	414 957	436 174	458 635	1 401 070
52xx Output 3.1.2 for implementing public campaign for Plastic Pollution Free Cities/Provinces	117 391	329 289	351 884	260 870	1 059 434
52xx Output 3.2.1 for promoting policies/legislation to reduce plastic use and strengthen waste management	29 348	404 348	413 855	304 266	1 151 817
52xx Output 3.2.2 for holding citizen science program with other organizations	29 348	404 348	413 855	304 266	1 151 817
53xx Output 3.3.1 for holding innovation award events with other organizations	59 358	130 435	130 435	130 435	450 662
53xx Travel, meeting & training costs	220 435	1 362 738	1 362 738	1 362 738	4 308 648
53xx Output 4.1.1 for developing WWF plastic position papers and shared with relevant decision makers	26 087	78 261	78 261	78 261	260 870
53xx Output 2.2.1 for studies analysing the economic and legal frameworks	-	39 130	39 130	39 130	117 391
53xx Output 3.1.1 for developing the campaign plan (meeting)	26 087	52 174	52 174	52 174	182 609
53xx Output 3.3.1 for holding innovation award events	-	84 477	84 477	84 477	253 431
53xx Output 4.4.2 for helping the government develop waste management system for rural areas	26 087	104 348	104 348	104 348	339 130
53xx Outcome 4: travel	2 609	26 087	26 087	26 087	80 870
53xx Outcome 1: travel	65 217	130 435	130 435	130 435	456 522
53xx Outcome 2: travel	26 087	652 174	652 174	652 174	1 982 609
53xx Outcome 3: travel	48 261	195 652	195 652	195 652	635 217
54xx Communications & fundraising costs	506 878	1 095 652	900 000	1 095 652	3 598 183
54xx Output 4.1.1 for publication and printing the WWF plastic position report	26 087	26 087	26 087	26 087	104 348
54xx Output 2.1.1 for producing and publishing marine litter scorecard report	-	78 261	78 261	78 261	234 783
54xx Output 2.1.2 for translating and adjusting the marine litter scorecards to the Chinese context	13 043	78 261	78 261	78 261	247 826
52xx Output 3.1.2 for implementing Plastic Pollution Free Cities/Provinces campaign plan	259 052	391 304	391 304	391 304	1 432 965
54xx Output 3.1.3 for implementing a public campaign to reach 60 million people	208 696	521 739	326 087	521 739	1 578 261
55xx Miscellaneous costs	-	-	-	-	-
56xx Office running costs	60 272	215 330	215 330	215 330	706 261
56xx 0.3 FTE Practice Head, Markets, (2018 0.6 FTE for 3 months), NOK 21,464/quarter/FTE	12 879	25 757	25 757	25 757	90 150
56xx 0.5 FTE,(S.) Programme Manager, Markets, NOK 21,464/quarter/FTE	10 732	42 929	42 929	42 929	139 518
56xx 1FTE,(S.) Programme Officer, Markets, NOK 21,464/quarter/FTE	21 464	85 857	85 857	85 857	279 037
56xx 1 FTE,(S.) Programme Officer, Regional Office, NOK 4,379 /quarter/FTE	4 379	17 515	17 515	17 515	56 923
56xx 1 FTE,(S.) Programme Officer, Regional Office, WWF China, NOK 4,379 /quarter/FTE	4 379	17 515	17 515	17 515	56 923
56xx 12% FTE, CEO, NOK 21,464/quarter/FTE	2 576	10 303	10 303	10 303	33 484
56xx 3% of CPO office, NOK 21,464/quarter/FTE	3 863	15 454	15 454	15 454	50 225
57xx Field running costs	-	-	-	-	-
58xx Capital asset costs	39 000	52 000	-	-	91 000
58xx Laptops for WWF China employees	39 000	52 000	-	-	91 000
Sub-total	2 485 715	8 483 851	8 483 850	8 483 851	27 937 267
WWF-China Management fee (5%)	124 286	424 193	424 193	424 193	1 396 863
Total WWF-China Budget	2 610 000	8 908 044	8 908 043	8 908 043	29 334 131

WWF-Norway staff costs	710 000	720 000	750 000	780 000	2 960 000
Project Manager, 40%, WWF-Norway	474 000	480 000	500 000	520 000	1 974 000
Communications advisor, 20%, WWF-Norway	236 000	240 000	250 000	260 000	986 000
WWF-Norway travel	30 000	50 000	50 000	50 000	180 000
Mid-term Review (coordinated with the MFA supported WWF's global plastics programme)	-	-	50 000	-	50 000
WWF-Norway Management fee (5%) (of which 4% goes to WWF International as Network Service Fee)	167 500	483 902	487 902	486 902	1 626 207
Total WWF-Norway Budget	907 500	1 253 902	1 337 902	1 316 902	4 816 207
TOTAL PROGRAMME BUDGET	3 517 500	10 161 946	10 245 945	10 224 946	34 150 337

SUMMARY BUDGET CHINA PLASTIC PROGRAMME	2018	2019	2020	2021	Total 2018 - 2021
WWF China Programme Costs	2 610 000	8 908 044	8 908 043	8 908 043	29 334 131
WWF-Norway (Technical support costs)	740 000	770 000	850 000	830 000	3 190 000
WWF-Norway Management fee (5%)	167 500	483 902	487 902	486 902	1 626 207
TOTAL PROGRAMME BUDGET	3 517 500	10 161 946	10 245 945	10 224 946	34 150 337

RESULTS FRAMEWORK: NO MORE PLASTIC IN OUR OCEANS - FROM CHINA, NOVEMBER 2018

LEVEL	EXPECTED RESULT	INDICATORS	Indicator data				Means of verification
			BASELINE June 2018	TARGET 2018-19	TARGET 2020	TARGET 2021	
IMPACT	China contributes to strengthened global governance, private sector leadership and cities contributing to processes and practices that will reduce plastic discharge into the oceans from China in the short term and contribute to the UNEA resolution on eliminating plastic discharge into the ocean entirely in the long term						
OUTCOME 1	The Chinese Government supports a global commitment for a new international treaty to regulate marine plastic pollution	1.1 The Chinese government supports the adoption of a new international treaty to regulate marine plastic pollution	No support indicated.	1	1	1	Official statements, working papers, vote on resolutions, policy documents
Output 1.1	Chinese civil society campaign coalition established by WWF-China, working synergistically with the global civil society campaign to actively engage on policy work for reduced plastic discharge into the oceans, both in China and via a new international treaty	1.1.1 Number of CSOs advocating for international, national and local policies, providing input and participating in the multilateral processes on marine plastics	0	3	5	5	List of campaign partners, report of activities, working paper
Output 1.2	WWF-China actively engages in relevant global forums (UNEA, UNGA, APEC, G20) to promote a new international treaty to regulate marine plastic pollution	1.2.1 Number of papers prepared and number of UNEA, UNGA and G7 meetings with representation from WWF-China delegates	0	1	2 +updates	3 +updates	Position papers, list of recipients
Outcome 2	Extended Producer Responsibility (EPR) committed to by both the Chinese government and leading Chinese consumer goods companies	2.1 Chinese Government have committed to take the first steps for introduction of Extended Producer Responsibility in their legal framework	The Chinese government has initiated EPR, but only covering paper-based packaging products that relate to plastics.	Discussion initiated of EPR for industries related to single use plastic, focusing on food delivery, express, and e-commerce companies.	EPR extended to cover food delivery, express, and e-commerce companies.	EPR extended to cover more sectors, e.g. plastic use in agriculture and shopping bags,	Chinese government document, leaders' speeches, meeting notes.
		2.2 National ban on single use plastic	The State Council has enacted a restriction	0	0	1	Policy documents

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LEVEL	EXPECTED RESULT	INDICATORS	Indicator data				Means of verification
			BASELINE June 2018	TARGET 2018-19	TARGET 2020	TARGET 2021	
Output 2.1	Marine litter scorecards used to influence 5 relevant companies	2.3 Number of leading express, food delivery, and e-commerce companies in China, with a market share larger than 10%, committing to reduce single use plastic straws and packaging	0 (2 leading food delivery companies are interested in EPR)	6	12	20	Public announcements by company representatives. Report and media material
		2.1.1 Number of company marine litter scorecards	0	0	5	5	Scorecards, list of companies
		2.1.2 Number of marine litter scorecard/index reports produced and published	0	0	1	1	Publication
Output 2.2	WWF-China actively lobbies national and local governments and companies in China based on studies analysing the economic and legal frameworks of waste management and potential for Extended Producer Responsibility	2.1.3 Number of companies with an active dialogue on marine litter	0	3	6	10	Meeting reports, list of companies
		2.2.1 Number of studies published analysing the economic and legal frameworks	Desk review has been done	1	Updated	Updated	Publication list
		2.2.2 China report published documenting the economic and financial case for establishing integrated waste management systems and EPR measures	0	0	0	1	Publication
Outcome 3	Cities/provinces and their stakeholders have developed and adopted scalable action programs to transform into Plastic Pollution Free Cities/Provinces	2.2.3 Number of government entities and companies the reports are shared with and engaged in a policy dialogue	0	10 (4 gov & 6 company)	20 (10 gov and 10 company)	34 (14 gov and 20 company)	Meeting reports
		3.1 Number of cities/provinces and tourism destinations committed to becoming Plastic Pollution Free	Plastic ban initiative in Jilin and Hainan provinces, prohibiting production and sale of non-degradable plastic shopping bags and plastic tableware	2	4	6	Meeting notes, workshop notes, Project documents, MOUs, Partnership agreements, Municipal/Provincial/State guiding opinions and work plan.

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LEVEL	EXPECTED RESULT	INDICATORS	Indicator data				Means of verification
			BASELINE June 2018	TARGET 2018-19	TARGET 2020	TARGET 2021	
Output 3.1	The China Plastic Pollution Free Cities/Provinces Campaign developed and implemented	3.2 Number of scalable action programmes developed and adopted	0	2	6	12+	Meeting notes, workshop notes, project documents, MOUs, partnership agreements, municipal/provincial/state guiding opinions and work plan.
		3.3 The Chinese government issues policy to endorse Plastic Pollution Free Cities/Provinces index/scorecard	Chinese government issued policies by 2020 to reduce plastic pollution, e.g. environmental tax and requirement for plastic package production, though reduction is limited.	0	0	1	Policy documents
		3.1.1 WWF-China has developed the campaign plan	0	1	Updated	Updated	Campaign plan documents
Output 3.2	WWF-China and partners develop and promote better practice solutions for city/province stakeholders and consumers that enable cities, industry and consumers to stop plastic waste pollution and transit to a circular economy	3.1.2 Number of cities/provinces in which the campaign is implemented	0	2	4	7	Website postings/sign ups
		3.1.3 Number of citizens reached with key messages	4 million	10 million	30 million	60 million	Media coverage monitoring, public response collection
		3.2.1 Number of local policies/legislation reducing use of plastic and strengthening waste management and recycling adopted, based on WWF and partner policy input	Some cities, e.g. Shanghai, Shenzhen, have implemented waste management policies, and systems, but only cover limited areas of the issue.	6	12	18	Government policy documents, decrees.
		3.2.2 Number of citizen science programs and networks established to support voluntary participation in collection, analysis and monitoring of marine debris	3 local CSOs already have Citizen Science Programs in China.	Review the 3 existing programs	5 (add 2 new programs)	5	Project reports

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LEVEL	EXPECTED RESULT	INDICATORS	Indicator data				Means of verification
			BASELINE June 2018	TARGET 2018-19	TARGET 2020	TARGET 2021	
Output 3.3	WWF-China and partners develop and implement circular plastic economy entrepreneurship programmes	3.3.1 Number of innovation workshops	0	2	2	2	Workshop reports and list of participants
Output 3.4	WWF-China supports the Chinese government improve existing policies and develop new ones to reduce plastic pollution through policy recommendation	3.4.1 Number of policy recommendation regarding waste management and waste sorting in urban areas.	Lack of sufficient waste sorting system in cities. There is a subsidy policy for plastic, but it encourages incineration of plastic, not reduction or recycling	0	1	2	Policy documents
		3.4.2 Number of new waste management systems for rural areas issues by the Chinese government	Lack of proper waste management system in rural areas		1	1	Policy documents
OUTCOME 4	Enhanced understanding of the issue of plastic pollution in China, and options to address it are identified	4.1 WWF-China position paper (including baseline) on plastic pollution has been developed	Desk review	1	1 updated	1 updated	WWF position paper
		4.2 Assessment reports findings used in implementing outputs 1.1, 1.2, 2.2, 3.1, 3.2	See relevant output indicators 1.1.1, 1.2.1, 2.2.1, 3.1.1, 3.2.2				
Output 4.1	WWF-China in partnership with national and international experts undertake and disseminate an assessment of the scale and implications, as well as gaps and solutions in both policy and practice related to plastic pollution in China	4.1.1 Number of assessment reports	0	1	2	3	Copy of assessment reports
		4.1.2 Number of relevant actors who receive copies of the reports	0	10	30	50	Copies of published reports. List of where and to whom they have been distributed

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